



THINK OPEN

开放性思维

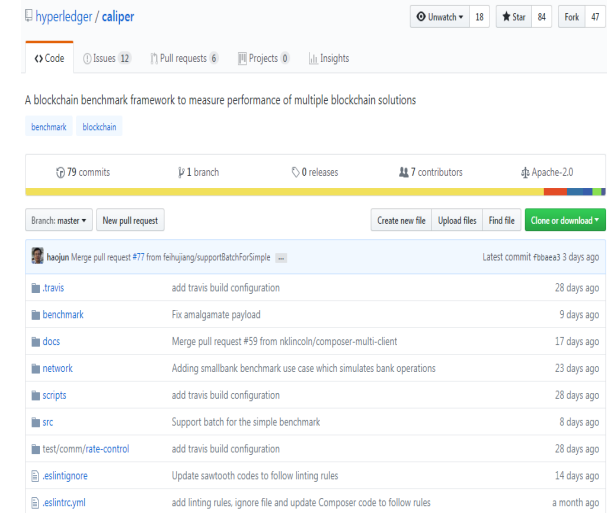
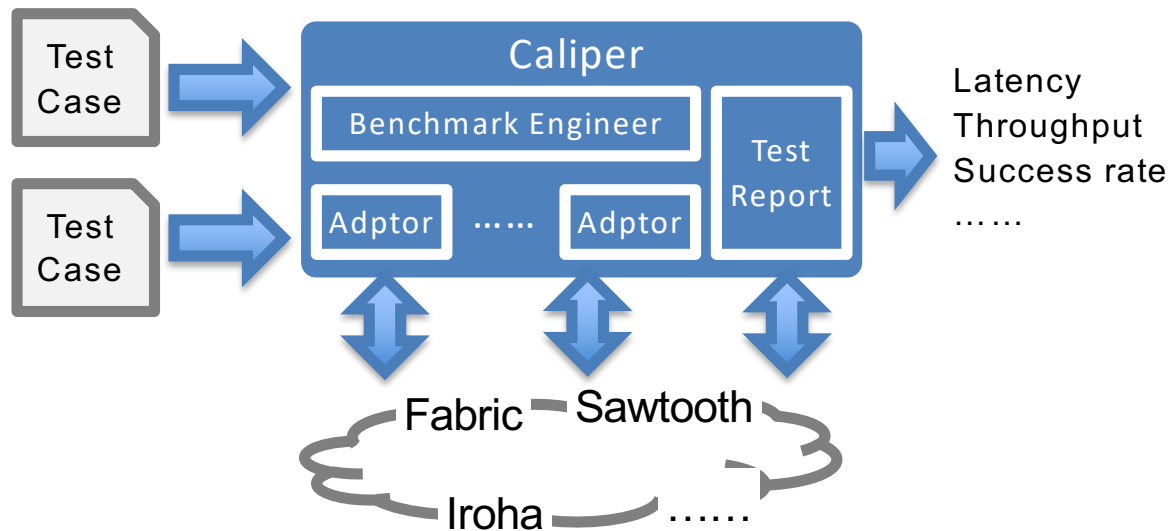
Hyperledger Caliper

A performance benchmark framework for blockchain

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What's Caliper



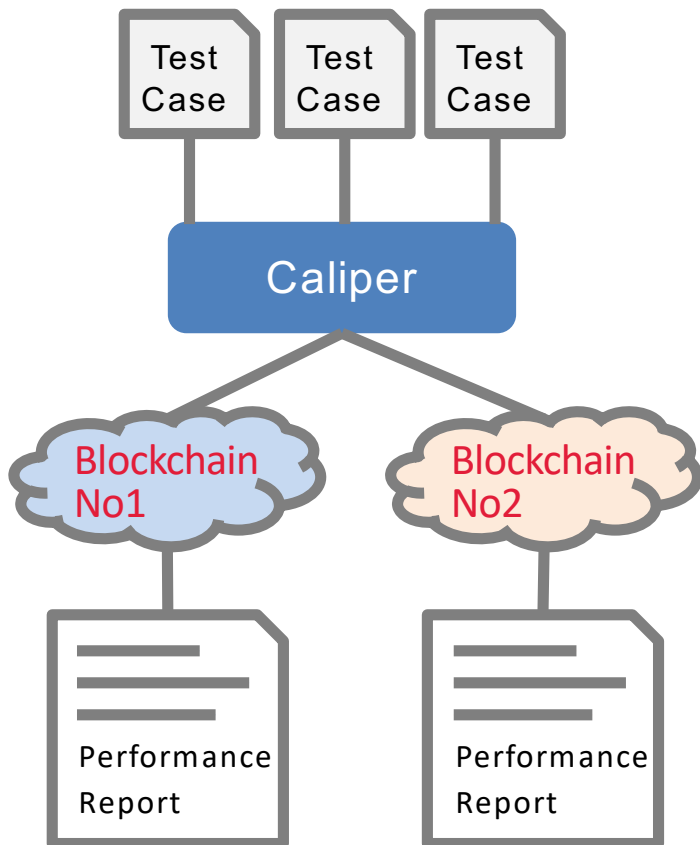
Caliper is a performance benchmark framework for blockchain and one of the Hyperledger projects hosted by The Linux Foundation

- Integrate with multiple existing DLTs (Distributed Ledger Technology)
- Measure the performance of specific blockchain systems with predefined test cases
- Reports containing standard performance indicators defined by [Hyperledger Performance and Scale WG](#)
- Provide abstract NBIs (Northbound Interface) to help extend test cases

<https://github.com/hyperledger/caliper>

What's Caliper

Target users and typical scenarios (1)

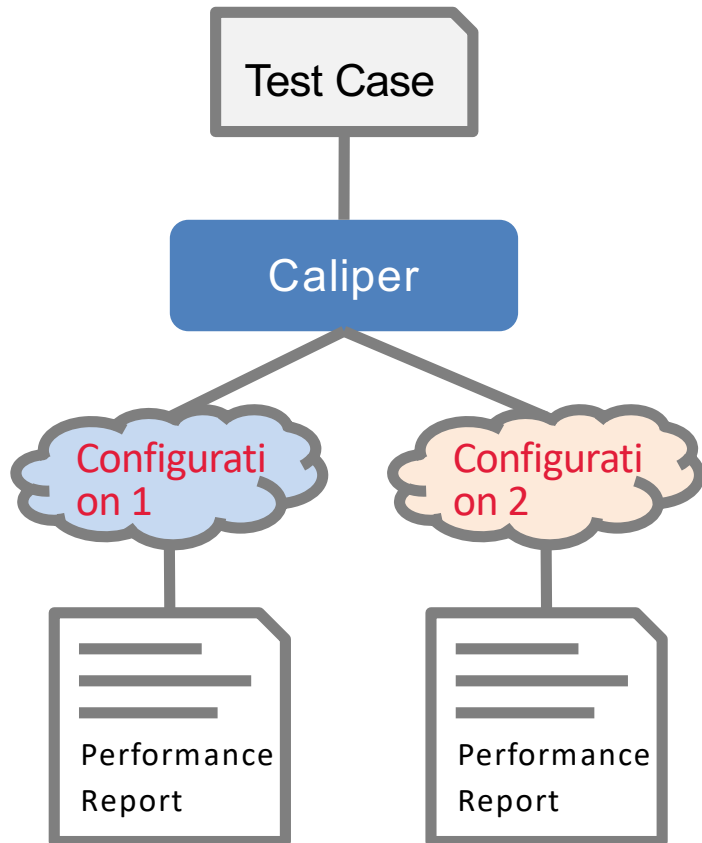


For decision makers who choose blockchain system for their business, Caliper can help:

- Test performance with specific test cases to find out which one best meet their needs
- Guarantee fairness for various systems
- Learn resource (CPU, Memory ,...) requirements and estimate costs to set up the system
-

What's Caliper

Target users and typical scenarios (2)

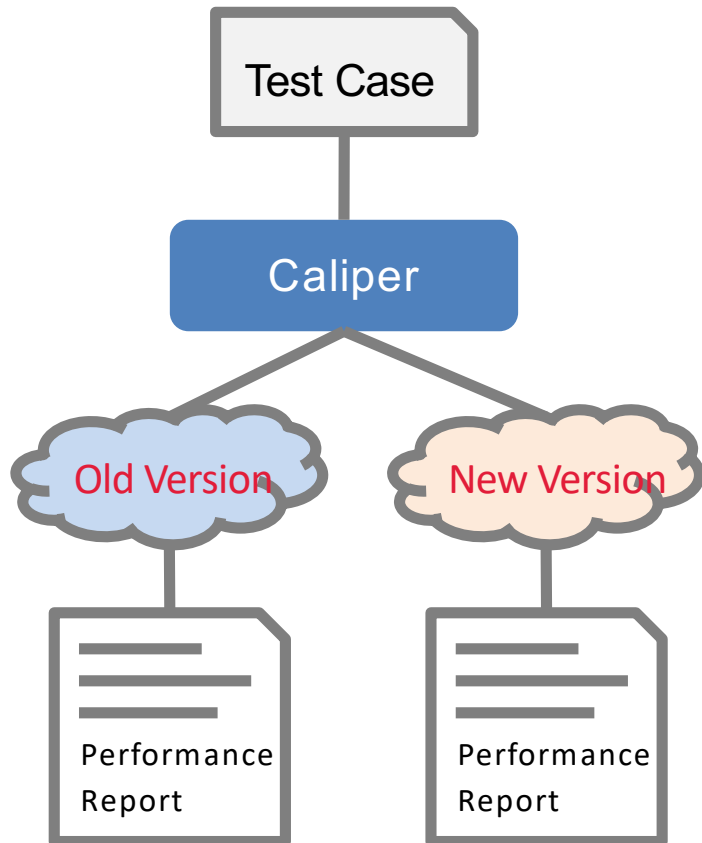


For system operators, Caliper can help:

- Evaluate performance of multiple blockchain configuration schemes and choose the best one
- Learn how network condition would affect the performance
- Find out the hardware requirements for specific SLA
-

What's Caliper

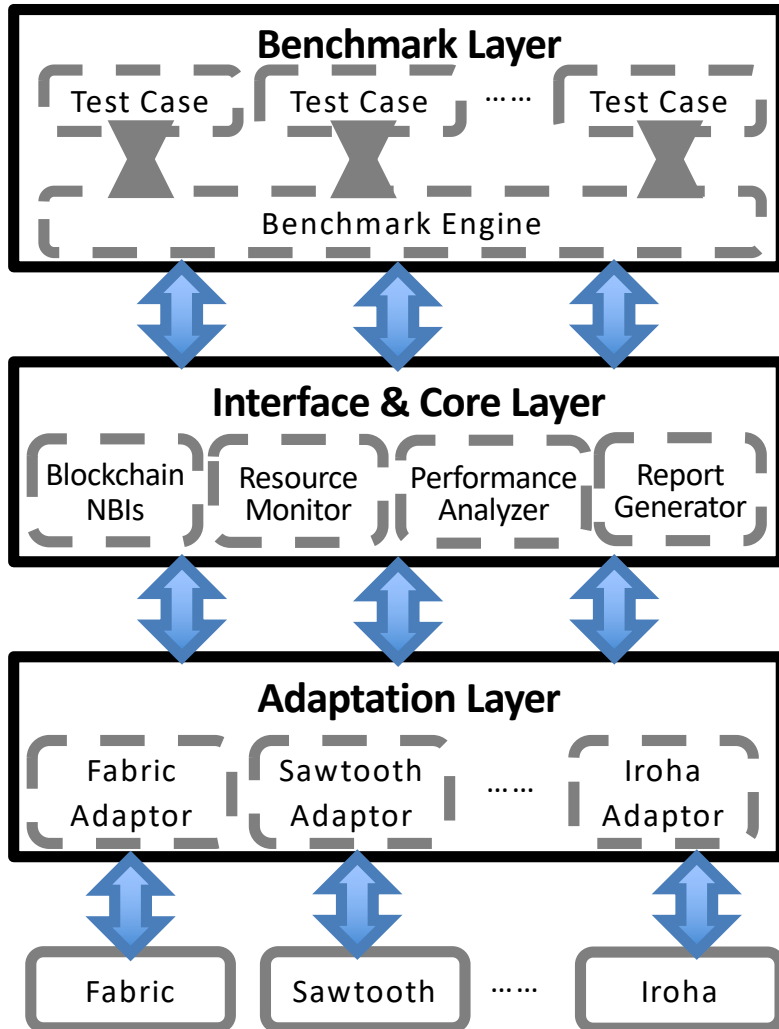
Target users and typical scenarios (3)



For developers, Caliper can be used as an internal tool to :

- Qualify the performance improvement of new version
- Assess the impact of new features on performance
- Compare with other blockchain systems
-

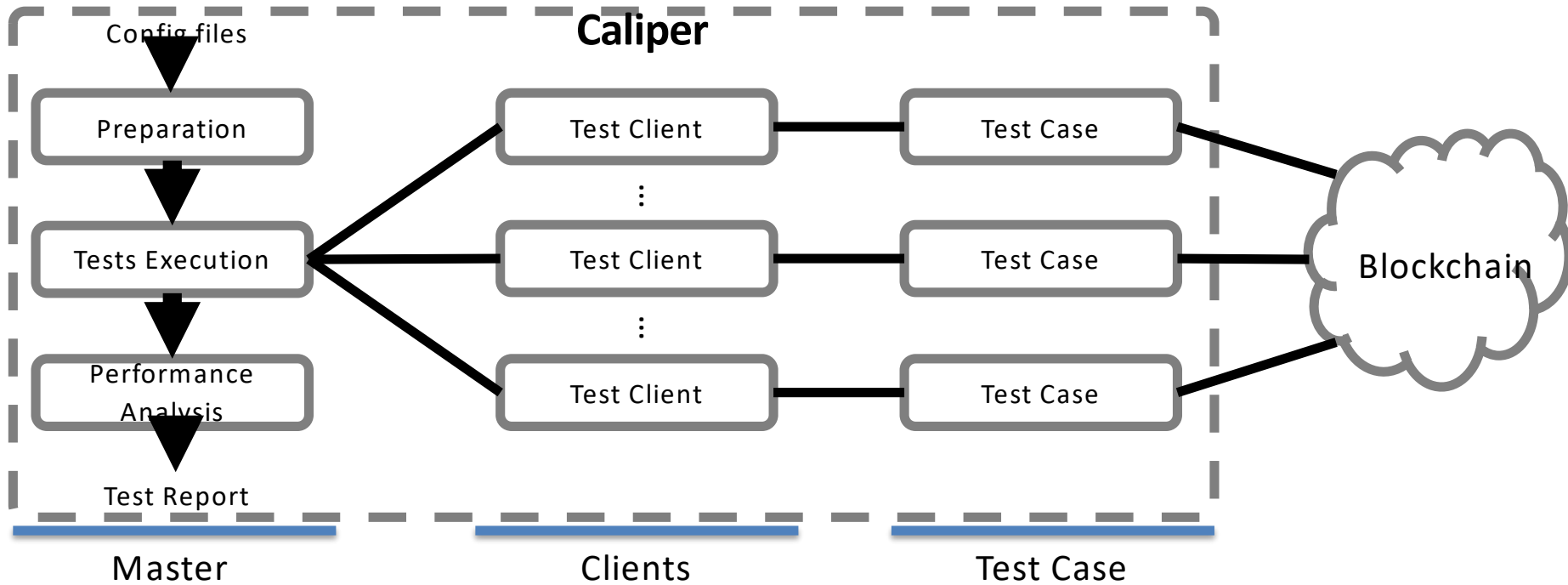
Architecture



Node.js based, 3 layers from top to bottom

- **Benchmark Layer**
 - Predefined benchmark test cases
 - Pluggable & configurable benchmark engine
- **Interface & Core Layer**
 - Blockchain NBIs – install, invoke, query.....
 - Resource Monitor – memory, cpu, network io
 - Performance Analyzer – latency, throughput
 - Report Generator – HTML format test report
- **Adaptation Layer**
 - Translate NBIs into DLT protocols

How it works



Execute the test flow according to the configuration

- Preparation: prepare the test context, e.g. installing smart contracts
- Test Execution: assign tasks to clients to run the test
- Performance Analysis: gather test results & generate report

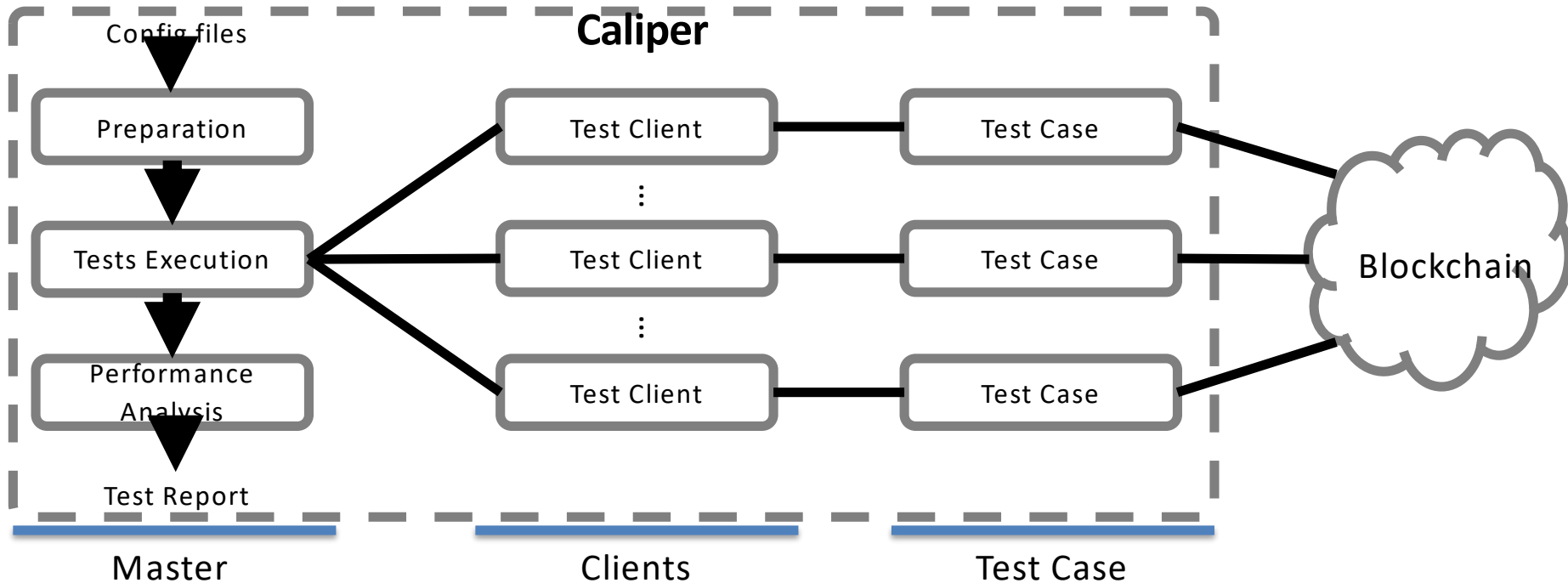
Run test case according to the specific workload

- Transaction count based test or duration based test
- Pluggable rate controller
 - Fixed submitting rate
 - Dynamic submitting rate based on specific schema
 -

Scripts which define interactions with the system under test

- Use Caliper's NBIs to define common scripts for multiple blockchain systems

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Scripts which define interactions with the system under test

- Use Caliper's NBIs to define common script for multiple blockchain systems

Example: test & network config

Test configuration file is used to specify test flow and workloads, as well as other global configuration items

```
{
  "blockchain": {
    "type": "fabric",
    "config": "./fabric.json"
  },
  "command": {
    "start": "docker-compose -f ../../network/fabric/simplenetwork/docker-compose.yaml up -d",
    "end": "docker-compose -f ../../network/fabric/simplenetwork/docker-compose.yaml down;docker rm $(docker ps -aq)"
  },
  "test": {
    "clients": {
      "type": "local",
      "number": 5
    },
    "rounds": [{
      "label": "open",
      "txNumber": [5000, 10000],
      "rateControl": [ {"type": "fixed-rate", "opts": {"tps": 200}}, {"type": "fixed-rate", "opts": {"tps": 300}} ],
      "arguments": { "money": 10000 },
      "callback": "benchmark/simple/open.js"
    },
    {
      "label": "query",
      "txNumber": [5000],
      "rateControl": [ {"type": "fixed-rate", "opts": {"tps": 300}} ],
      "callback": "benchmark/simple/query.js"
    }
  ]
},
  "monitor": {
    "type": "docker",
    "docker": { "name": ["peer0.org1.example.com", "http://192.168.1.100:2375/orderer.example.com"]
  },
  "interval": 1
}
```

Specify the location of blockchain network configuration file

User defined commands which are called before/after test

Specify type and number of clients used for the test

Specify test rounds

- txNumber: defines an array of sub-rounds with number based test runs
- rateControl: defines how to control the txns submitting
- arguments: user defined arguments which are passed directly to the specified test script
- callback: location of the test script

Resource monitor

- docker: local/remote containers which will be watched

Example: test & network config

Network configuration file is used to specify access points, as well as other necessary informations such as cryptographic materials required to interact with the SUT

```
{
  "fabric": {
    "cryptodir": "network/fabric/simplenetwork/crypto-config",
    "network": {
      "orderer": {
        "url": "grpc://localhost:7050",
        "mspid": "OrdererMSP",
        "user": {
          "key": "network/fabric/...../keystore/be595....57cd_sk",
          "cert": "network/fabric/...../Admin@example.com-cert.pem"
        },
        "server-hostname": "orderer.example.com",
        "tls_cacerts": "network/fabric/...../tls/ca.crt"
      },
      "org1": {
        "name": "peerOrg1",
        "mspid": "Org1MSP",
        "user": {.....},
        "peer1": {.....},
        "peer2": {.....}
      },
      "org2": {.....}
    },
    "channel": [{
      "name": "mychannel",
      "config": "network/fabric/simplenetwork/mychannel.tx",
      "organizations": ["org1", "org2"],
      "deployed": false
    }],
    "chaincodes": [{"id": "simple", "path": "contract/fabric/simple", "language": "golang", "version": "v0",
    "channel": "mychannel"}]
  }
}
```

Informations of orderer and peers which can be used to submit transactions to Fabric

Informations of fabric channels

Informations of fabric chaincodes

Example: test case

A typical directory of test case : <https://github.com/hyperledger/caliper/tree/master/benchmark/simple>

config-fabric.json

config-iroha.json

config-sawtooth.json

config-zookeeper.json

config.json

fabric-remote.json

fabric.json

iroha.json

main.js

open.js

query.js

Test & network configuration files for various configuration options as well as specific systems under test

Startup parameters '-c' '-n' are used to specify config files for the test

Bootstrap script, the test can be started by running 'node main.js'

Default script is implemented using benchmark engineer, the script can be used for various test cases. However, developers can also implement their own bootstrap script.

Test scripts which defines the actual blockchain operations using caliper NBIs

Example: test report

Test results are outputted to the console in real time, and a HTML format report will be generated after the test

Caliper Report

Basic information

DLT: fabric

Benchmark: simple

Description: This is an example benchmark for caliper, to test the backend DLT's performance with simple account opening & querying transactions

Test Rounds: 5

[Details](#)

Benchmark results

[Summary](#)

[round 0](#)

[round 1](#)

[round 2](#)

[round 3](#)

[round 4](#)

System Under Test

Version: 1.0.5

Size: 4 Peers

Orderer: Solo

Distribution: Single Host

[Details](#)

Summary

Test	Name	Succ	Fail	Send Rate	Max Latency	Min Latency	Avg Latency	75%ile Latency	Throughput
1	open	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s
2	open	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s
3	open	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s
4	query	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s
5	query	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s

round 0 - open

performance metrics

Name	Succ	Fail	Send Rate	Max Latency	Min Latency	Avg Latency	75%ile Latency	Throughput
open	1000	0	1000/s	100ms	50ms	75ms	100ms	1000/s

resource consumption

TYPE	NAME	Memory(max)	Memory(avg)	CPU(max)	CPU(avg)	Traffic In	Traffic Out
Process	node local-client.js(avg)	5.2MB	3.1MB	100%	50%	0KB	0KB
Docker	dev-peer1.org2.example.co...le-v0	8MB	7.5MB	100%	100%	100KB	100KB
Docker	dev-peer0.org2.example.co...le-v0	8MB	7.5MB	100%	100%	100KB	100KB
Docker	dev-peer1.org1.example.co...le-v0	8MB	7.5MB	100%	100%	100KB	100KB
Docker	dev-peer0.org1.example.co...le-v0	8MB	7.5MB	100%	100%	100KB	100KB

<https://wiki.hyperledger.org/groups/pswg/performance-and-scale-wg>

Performance and Scale Working Group is a cross project forum of Hyperledger for architects and technologists to discuss, research, and identify key metrics that relate to the performance and scalability of a blockchain and blockchain related technologies.

Roadmap

- Fabric v1.1 & Sawtooth v1.0 & Iroha
- Performance metrics
 - Success Rate
 - Throughput
 - Latency
- Resource Monitor
 - Docker Container
 - Local Process
- Simple sample test cases
- Other Hyperledger blockchain (and Non-Hyperledger System?)
- Keep up with PSWG metrics
- GUI & Dashboard support
- Add more sophisticated test cases
- Stable version supports long-term & large-scale testing
- Integrate with blockchain & network operation tools



Contact

- Join the discussion of performance metrics and benchmark requirements

<https://wiki.hyperledger.org/groups/pswg/performance-and-scale-wg>

- Submit issues or PRs to contribute directly

<https://github.com/Huawei-OSG/caliper>

- Any questions / suggestions about Caliper

<https://chat.hyperledger.org/channel/caliper>

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